

# ACCOUNT INFORMATION FORM

DATE \_\_\_\_\_



*This Account Information Form supersedes all prior Account Information Forms. When you open an account, we will ask for your name, address, date of birth, telephone number, and other information that will allow us to identify you. We may also ask to see your driver's license or other identifying documents. For new members other than individuals, we will ask you to provide certain corporate entity documents. The account holder should notify the firm of any future changes or incorrect information contained on this account form.*

Please type or print

Customer Name	Tax ID #	Manager/President
Telephone No.	Facsimile No.	
Mailing Address	City	State Zip Code
Legal Address (if different)	City	State Zip Code
Routing & Transit Number (if applicable)	List Corporate Membership(s)	<input type="checkbox"/> State Charter <input type="checkbox"/> Federal Charter (Check One)
Settlement, Delivery Instructions Do you safekeep with your Corporate? <input type="checkbox"/> Yes <input type="checkbox"/> No	<b>If NO:</b> Safekeeping Agent: _____ ABA #: _____	DTC #: _____ Special Instructions: _____

### Authorized individual (to execute security order)

Name	Title	Name	Title
Prospectus Email Notification (ISI will email prospectus to this email address)			
I, hereby certify the above named individuals have been duly authorized on behalf of _____ Credit Union to execute security orders. These individuals are authorized to give written or oral instructions with respect to any security order.		By: _____ Title Officer	

Percentage of total investments	_____ Corporate CU Deposits	_____ Collateralized Mortgage Obligations/REMICs	_____ Asset-Backed Securities
	_____ U.S./Treasuries	_____ Negotiable CDs	_____ U.S. Corporation Corporate Bonds
	_____ Federal Agencies	_____ Mutual Funds	_____ Municipal Bonds
	_____ Mortgage-Backed Securities	_____ Bankers Acceptances	_____ Other

How frequently do you purchase and sell securities?  
 Daily  Weekly  Monthly  Quarterly  Annually  Other

What is the estimated average size of each transaction?  
 Under \$1,000,000  \$1 million - \$5 million  \$5 million - \$20 million  Over \$25 million

Investments with maturities/average lives less than 12 months \$ \_\_\_\_\_

Investments with maturities/average lives 1-3 years \$ \_\_\_\_\_

Investments with maturities/average lives more than 3 years \$ \_\_\_\_\_

Total Assets \$ \_\_\_\_\_ Total Net Capital \$ \_\_\_\_\_

Size of Investment Portfolio \$ \_\_\_\_\_ Capital/Assets Ratio: \_\_\_\_\_ %

### For ISI use only

Investment/Officer	Rep/Principal	Received
Account Number	Accepted / OFAC Screened	Date Entered